

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Steven A. McAuley et al.  
Serial No.: Unknown Examiner: Unknown  
Filed: April 21, 2004 Group Art Unit: Unknown  
Docket: 1001.1704101 Customer No.:  
For: TRACTION BALLOON

**TRANSMITTAL SHEET**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 3156137780 US, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 21st day of April 2004.

By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached Patent Application including the following:

- ☒ TWENTY-TWO (22) Sheet(s) of Specification
- ☒ THIRTY-FIVE (35) Claim(s)
- ☒ ONE (1) sheet of Abstract
- ☒ TEN (10) Sheet(s) of Formal Drawings
- ☒ Unexecuted Declaration and Power of Attorney
- ☐ Small entity status under 37 C.F.R. §§ 1.9 and/or 1.27 is claimed
- ☒ An Assignment of the invention to SciMed Life Systems, Inc., will be submitted at a later date.
- ☐ A certified copy of a \_\_\_\_\_ application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. § 119.

CLAIMS AS FILED						
	(1)	(2)	Small Entity		Other	
For:	# Filed	# Extra	Rate	Fee	Rate	Fee
Basic Fee	1	0		\$385		\$770
Total Claims	35 - 20 =	15	X 9 =	\$	X 18 =	\$270
Independent Claims	6 - 3 =	3	X 43 =	\$	X 86 =	\$258
( ) Multiple Dependent Claim Presented			+ 145 =	\$	+ 290 =	\$0
TOTAL			\$		\$1,298	


\*If the difference in Column (1) is less than zero, enter "0" in column 2.

[ ] Other \_\_\_\_\_.

[XX] A check in the amount of \$1,298.00 is enclosed.

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By:   
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